

MP#3  
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4/24/02  
Date

Susan LaMont  
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

FINLAY et al.

Confirmation No.: 9198

Serial No.: 10/039,760

Group Art Unit: 1653

Filing Date: January 3, 2002

Examiner: Unassigned

Title: ENTEROHEMORRHAGIC ESCHERICHIA COLI VACCINE

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated March 26, 2002.

Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; and a Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account. Applicants claim small entity status. Also enclosed is a check in the amount of \$645 (surcharge, \$65; statutory basic filing fee, \$370; additional claim fees, \$210) to cover the fees required.

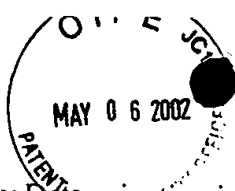
The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 4/24/02

By: [Signature]  
Roberta L. Robins  
Registration No. 33,208

ROBINS & PASTERNAK LLP  
545 Middlefield Road, Suite 180  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
Fax: (650) 325-7823



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#3

Small Entity Determination in the U.S. for the Case Entitled "ENTEROHEMORRHAGIC  
ESCHERICHIA COLI VACCINE" (Serial No.10/039,760; Atty Dkt No. 9003-0001)

**PLEASE READ AND MAKE THE APPROPRIATE SELECTION**

Small entity according to U.S. Patent Office rules applies to patents owned by inventors, small business concerns with fewer than 500 employees, and non-profit organizations. If there is any assignment or license of rights, or obligation to assign or license rights to a large entity, i.e. a firm with more than 500 employees, then large entity applies.

- ☒ According to the above description, University of Saskatchewan is a small entity in the U.S.  
☐ According to the above description, University of Saskatchewan is a large entity in the U.S.

**UNIVERSITY OF SASKATCHEWAN**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

*Julia S. Taylor*  
Chair, Board of Governors

*Jim Traves*  
Secretary, Board of Governors

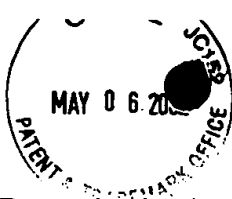
Julia S. Taylor, Director, Office of  
Research Services

Jim Traves, Financial Analyst, Financial  
Services Division

*April 8/02*

Date: *APRIL 8, 2002*

*ml*



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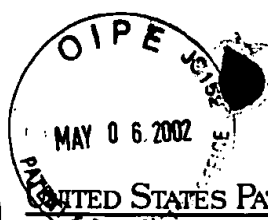
- ☒ According to the above description, University of British Columbia is a small entity in the U.S.
- ☐ According to the above description, University of British Columbia is a large entity in the U.S.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: 4/14/02



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,760	01/03/2002	Brett Finlay	9003-0001

CONFIRMATION NO. 9198

FORMALITIES LETTER



\*OC00000007721462\*

ROBINS & PASTERNAK LLP  
Suite 200  
90 Middlefield Road  
Menlo Park, CA 94025

Date Mailed: 03/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$210.
  - \$126 for 14 total claims over 20.
  - \$84 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 645.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2002 SDENB01 00000054 10039760

01 FC:201	370.00 OP
02 FC:202	84.00 OP
03 FC:203	126.00 OP
04 FC:205	65.00 OP